

Express Mail Label No. EL 798 273 005 US

PATENT

Docket No. 10745.155/mkh

UNITED STATES PATENT APPLICATION
of
Dennis O'Brien and Carl Yee
for
CUTTING BALLOON HAVING SHEATHED INCISING ELEMENTS

FIELD OF THE INVENTION

The present invention pertains generally to medical devices. More particularly, the present invention pertains to cutting balloon catheters for revascularization of coronary and peripheral vessels. The present invention is particularly, but not exclusively, useful as a cutting balloon having incising
5 elements that are shielded when the balloon is deflated.

BACKGROUND OF THE INVENTION

Although conventional percutaneous transluminal coronary angioplasty (PTCA) procedures have been somewhat effective in treating coronary artery
10 disease, cutting balloons are currently viewed by many as the next generation treatment option for the revascularization of both coronary and peripheral vessels. The cutting balloon mechanism is unique in that the balloon pressure is distributed over one or more incising elements (e.g. microtomes). The incising elements function as stress concentrators and cut initiators in
15 PTCA atherectomy procedures. Consequently, PTCA atherectomy procedures have been proven to minimize vessel recoil, lessen vessel injury and lower the rate of restenosis, as compared to conventional PTCA procedures.

The incising elements used in cutting balloons include an operative
20 surface feature (e.g. edge) that is capable of incising tissue. In the absence of suitable precautions, the incising elements can tear, cut or perforate the thin, fragile inflation balloon during assembly of the cutting balloon, handling or during clinical use. In a worst case, a balloon perforation or tear can result in an unsuccessful PTCA atherectomy procedure and the loss of inflation fluid
25 into the patient's vasculature. In addition to balloon perforation concerns, another consideration involves the prevention of an inadvertent or unwanted cutting or incising of tissue as the cutting balloon is either being advanced into or withdrawn from the vasculature.

Along these lines, a device having a parting edge which is shielded within the pleats of an expandable clover leaf shaped tube is disclosed by Shiber in U.S. Patent Application Publication No. US 2002/0151924, filed October 17, 2002 and entitled "Clover Leaf Shaped Tubular Medical Device".

5 However, the clover leaf design disclosed by Shiber does not necessarily protect the relatively fragile balloon during installation of the parting edges on the clover leaf balloon. In addition, because the parting edges are located within the pleats of the balloon, portions of the balloon may be exposed to the parting edges when the device is twisted, turned and bent through the curved
10 vasculature of a patient.

In light of the above, it is an object of the present invention to provide a protective sheath for an incising element mounted on an inflatable balloon which compresses to expose the incising element during balloon inflation at a treatment site. Another object of the present invention is to provide a
15 protective sheath for an incising element mounted on an inflatable balloon that protects the incising element from inadvertently cutting tissue as the deflated balloon is maneuvered through the vasculature of a patient. Still another object of the present invention is to provide a protective sheath for an incising element mounted on an inflatable balloon that is easy to use, relatively simple
20 to manufacture and comparatively cost effective.

SUMMARY OF THE INVENTION

The present invention is directed to a cutting balloon which in one application can be used on a medical catheter to incise and dilate stenotic tissue at a treatment site in a body vessel of a patient. A typical cutting
25 balloon includes an elongated balloon that defines a longitudinal axis and is inflatable from a first deflated configuration to a second radially expanded configuration.

The cutting balloon further includes one or more rigid, elongated incising elements which could be, for example, a blade, a round wire or a hardened polymer. The incising elements are typically oriented longitudinally and mounted on the inflatable balloon. Specifically, when the inflatable
5 balloon is in the radially expanded configuration, each incising element extends radially from the surface of the inflatable balloon to an operative surface feature (e.g. edge) that is capable of incising tissue. The cutting balloon may further include one or more mounting pads, with each mounting pad holding a respective incising element. For example, each incising
10 element may be partially encapsulated in a respective mounting pad which, in turn, is adhesively bonded to the outer surface of the inflatable balloon.

For the present invention, the cutting balloon includes one or more compressible sheaths that are made of a relatively low durometer, flexible material. Functionally, each sheath is provided to protect the operative
15 surface feature of a respective incising element during assembly of the cutting balloon and transit of the cutting balloon to the treatment site. In greater structural detail, each sheath may include a pair of elongated sheath members that are oriented longitudinally and attached to the mounting pad to interpose an incising element between the pair of sheath members. In one
20 embodiment, each sheath has a single sheath member.

Each sheath member extends radially from the mounting pad and terminates at a sheath member surface that is located farther from the longitudinal axis than the operative surface feature of the corresponding incising element. With this cooperation of structure, the sheath member(s)
25 makes first contact with the tissue during a balloon inflation. Once contact has been established between the tissue and the sheath members, further inflation of the inflatable balloon causes the sheath member(s) to radially compress, and in some cases deflect, between the tissue and the inflatable balloon exposing the operative surface feature of the incising element for
30 tissue incision. In one aspect of the present invention, the sheath members can be configured to selectively limit the amount of incising element that is exposed, and as a consequence, control the incision depth.

BRIEF DESCRIPTION OF THE DRAWINGS

The novel features of this invention, as well as the invention itself, both as to its structure and its operation, will be best understood from the accompanying drawings, taken in conjunction with the accompanying description, in which similar reference characters refer to similar parts, and in which:

Fig. 1 is a simplified, perspective view of a catheter having a cutting balloon operationally positioned in the upper body of a patient;

Fig. 2 is an enlarged, perspective view of a cutting balloon;

10 Fig. 3 is a partial, cross-sectional view of the cutting balloon shown in Fig. 2 as seen along line 3-3 in Fig. 2;

Fig. 4 is a partial, cross-sectional view of the cutting balloon as in Fig. 3, shown after the balloon has been inflated to expose a cutting blade and incise tissue;

15 Fig. 5 is a partial, cross-sectional view as in Fig. 3 showing an alternate embodiment of a cutting balloon;

Fig. 6 is a partial, cross-sectional view of the cutting balloon as in Fig. 5, shown after the balloon has been inflated to expose a cutting blade and incise tissue;

20 Fig. 7 is a partial, cross-sectional view as in Fig. 3 showing another alternate embodiment of a cutting balloon;

Fig. 8 is a partial, cross-sectional view of the cutting balloon as in Fig. 5, shown after the balloon has been inflated to expose a cutting blade and incise tissue;

25 Fig. 9 is a partial, cross-sectional view as in Fig. 3 showing another alternate embodiment of a cutting balloon wherein the incising element is a round wire; and

Fig. 10 is a partial, cross-sectional view of the cutting balloon as in Fig. 9 shown after the balloon has been inflated to expose an operative cutting surface and incise tissue.

30

DESCRIPTION OF THE PREFERRED EMBODIMENTS

Referring initially to Fig. 1, a catheter 20 having a cutting balloon 22 is shown for performing a medical procedure at an internal treatment site of a patient 24. More specifically, the catheter 20 is shown positioned to treat a lesion in an upper body artery. Although the catheter 20 is capable of performing a medical procedure in an upper body artery such as a coronary artery, those skilled in the pertinent art will quickly recognize that the use of the catheter 20 as herein described is not limited to use in a specific artery, but, instead can be used in vascular conduits and other ductal systems throughout the human body.

Referring now to Fig. 2, the distal portion of the catheter 20 is shown to include a cutting balloon 22 that is attached to the distal end 26 of an inflation tube 28. Fig. 2 further shows that the cutting balloon 22 can include an inflatable balloon 30 that typically includes a cylindrical shaped working section 32 that defines an axis 33. Typically, the inflatable balloon 30 is made of a polymeric material such as polyethylene terephthalate (PET) or nylon. As best seen in Fig. 3, the inflatable balloon 30 can be characterized as having an outer surface 34 and an opposed inner surface 36 that surrounds an inflation volume 38 that can be infused with a medical grade fluid to expand the inflatable balloon 30. More specifically, as shown in Fig. 1, an inflation device, which for the embodiment shown is a syringe 40, can be activated to pump a medical grade fluid through the inflation tube 28 to expand the inflatable balloon 30.

Cross-referencing Figs. 2 and 3, it can be seen that the cutting balloon 22 further includes a plurality of incising elements, which in this case is four elongated cutting blades 44. For the embodiment shown, the four longitudinally aligned blades 44 are uniformly distributed around the circumference of the working section 32 of the inflatable balloon 30. Typically, each blade 44 is made of a medical grade metal such as stainless steel. As best seen in Fig. 3, a portion of each blade 44 is encapsulated in a respective mounting pad 46, thereby affixing the blade 44 to the respective mounting pad

46. Typically, each mounting pad 46 is made of a relatively flexible polymeric material such as polyurethane and is bonded (e.g. heat bonded or adhesively bonded) to the outer surface 34 of the inflatable balloon 30. It can further be seen that each blade 44 extends from the mounting pad 46 to an operative
5 surface feature that is capable of incising tissue, which in this case is a cutting edge 48.

Continuing with cross-reference to Figs. 2 and 3, it can be seen that the cutting balloon 22 includes one or more compressible sheaths 50 (typically one sheath 50 for each blade 44) that are made of a relatively low
10 durometer, compressible material. As further shown, each sheath 50 may include a pair of elongated sheath members 52a,b that are each oriented longitudinally and attached to a mounting pad 46. The attachment between the sheath members 52a,b and mounting pad 46 can be a mechanical attachment as shown, or the sheath members 52a,b can be bonded (e.g. heat
15 bonded or adhesively bonded) to the mounting pad 46. In some cases, sheath members 52a,b can be bonded directly to the balloon 30. In addition, the sheath members 52a,b can be bonded to the sides 54a,b of the blade 44. Also shown, each sheath member 52a,b is positioned alongside a blade 44 to interpose the blade 44 between the sheath members 52a,b.

20 It can be further seen that each sheath member 52 extends radially from the mounting pad 46 and terminates at a surface 56 that is located farther from the longitudinal axis 33 than the cutting edge 48 of the corresponding blade 44. Specifically, as shown, each sheath member 52 extends a radial distance, 'd' from the outer surface 34 of the balloon 30
25 (when the sheath is uncompressed) and the blade 44 extends a distance 'D' from the outer surface 34 of the inflatable balloon 30, with 'd' > 'D'.

For the embodiment shown in Fig. 3, each sheath member 52 has an azimuthal width 'w' that is greater than twice the azimuthal width 'W' of the blade 44 measured where the blade 44 extends from the mounting pad 46
30 (i.e. 'w' > 2W). This geometry encourages radial compression of the sheath member 52 instead of azimuthal deflection which may be problematic, for

example, when anatomical features of the vessel or lesion prevent deflection of a deflecting sheath.

The functionality of the sheath 50 can perhaps best be appreciated with cross-reference to Figs. 3 and 4. As shown in Fig. 3, the sheath 50 is configured to protect the cutting edge 48 of blade 44 during assembly of the cutting balloon 22 and transit of the cutting balloon 22 to the treatment site. On the other hand, once the cutting balloon 22 is positioned at the treatment site and expanded, the sheath members 52a,b make first contact with the tissue 58 (see Fig. 4). Once contact has been established between the tissue 58 and the sheath members 52a,b, further inflation of the inflatable balloon 30 causes the sheath members 52a,b to radially compress, and in some cases deflect, between the tissue 58 and the inflatable balloon 30 exposing the cutting edge 48 for tissue incision.

For the embodiment shown in Figs. 3 and 4, the sheath members 52a,b are bonded to the sides 54a,b of the blade 44. As shown, this structure limits the amount of cutting blade 44 that is exposed to the portion of the cutting blade 44 that is not bonded to the sheath members 52a,b. As a consequence, the incision depth is limited to the exposed portion of the cutting blade 44.

Figs. 5 and 6 show an alternate embodiment of a sheath (designated 150) having a pair of sheath members 152a,b that are each shaped as a hollow, elongated tube. The tube-shaped sheath members 152a,b are positioned longitudinally on balloon 130 to interpose the blade 144 (which is partially encapsulated in mounting pad 146) between said sheath members 152a,b. As shown in Fig. 6, each sheath member 152a,b is made of a flexible material and radially compresses between tissue 158 and the balloon 130 to expose the cutting edge 148 of the blade 144 for tissue incision during an inflation of the balloon 130.

Figs. 7 and 8 show an alternate embodiment of a sheath (designated 250) having a single sheath member 252 which is attached to a mounting pad 246. A portion of a blade 244 is embedded in the mounting pad 246, which in turn, is attached to the balloon 230. In this embodiment, the cutting edge 248

of the blade 244 is embedded in the sheath 250 when the balloon 230 is initially in the first configuration, as shown in Fig. 7. As shown in Fig. 6, the sheath member 252 is made of a compressible material and radially compresses between tissue 258 and the balloon 230 during inflation of the balloon 230. During this compression, the cutting edge 248 cuts through the sheath member 252 to expose the cutting edge 248 of the blade 244. Once the cutting edge 248 is exposed, continued inflation of the balloon 230 drives the exposed cutting edge 248 into the tissue 258, to incise the tissue 258, as shown in Fig. 8.

10 Figs. 9 and 10 show an alternate embodiment of a cutting balloon 322 having an incising element that is formed as a round wire 360 having an operative cutting surface 362. The cutting balloon 322 includes a sheath 350 having sheath members 352a,b which are attached to a mounting pad 346. A portion of a round wire 360 is embedded in the mounting pad 346, which in turn, is attached to the balloon 330. As shown in Fig. 10, the sheath members 352a,b are made of a compressible material and radially compress between tissue 358 and the balloon 330 during inflation of the balloon 330. During this compression, the operative cutting surface 362 is exposed and continued inflation of the balloon 330 drives the operative cutting surface 362 into the tissue 358, to incise the tissue 358, as shown in Fig. 10.

20 While the particular cutting balloon having sheathed incising elements as herein shown and disclosed in detail are fully capable of obtaining the objects and providing the advantages herein before stated, it is to be understood that they are merely illustrative of the presently preferred
25 embodiments of the invention and that no limitations are intended to the details of construction or design herein shown other than as described in the appended claims.